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June <u>29</u>, 2005 DATE:

TO:

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FROM: Glenn M. Seager

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OUR REF:

1001.1720101

TELEPHONE: 612.359.9312

Total pages, including cover letter: 3

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Title of Document Transmitted:

Form 1449 and Certification for 1449

Applicant:

Thomas E. Broome et al.

Serial No.:

10/762,683

Filed:

January 20, 2004

Group Art Unit: 3738

Our Ref. No.: 1001.1720101

Confirmation No. 5270

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Name: Glenn M. Seager

Reg. No.: 36,926

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Name: JoAnn Lindman

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/762,683

Confirmation No.:

5270

Applicant

Thomas E. Broome et al.

Docket No.

1001.1720101

Filed

January 20, 2004

Customer No. :

28075

TC/A.U.

3738

:

Unknown

Examiner Title

Expandable retrieval device with dilator tip

CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(e)

Mail Stop AMENDMENT Commissioner for Patents PO Box 1450

Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.06(d): The undersigned hereby certifies that this paper or papers, as described herein, are being facsimile transmitted to the U.S. Patent and Trademark Office, Fax No. 703-872-9306, on this 2 day of

sy John Sund

JoAnn Lindma

Dear Sir:

This certification is being made for the Information Disclosure Statement accompanying this certification.

I, the person(s) signing below certify:

That each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 CFR §1.97(e)(1).----or

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to another individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

Dated: <u>Jn- 29, 2005</u>

Respectfully submitted,

Glenn M. Seager, Reg. No. 36,926

CROMPTON, SEAGER & TUFTE, LLC

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INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: Application Number:

1001.1720101 10/762,683

Applicant: Thomas E. Broome et al.

Filing Date: January 20, 2004 Group Art Unit: 3738

U.S. Patent Documents		
SUBCLASS		
		
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